

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

For Official Use Only

Date Stamp
**RECEIVED
CITY OF GARDEN GROVE
CITY CLERK'S OFFICE
2012 OCT 25 P 3:47**

Date of election if applicable:
(Month, Day, Year)

11/06/2012

Statement covers period
from

10/01/2012

through
10/20/2012

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
- State Candidate Election Committee
- Recall (Also Complete Part 5)
- General Purpose Committee
- Sponsored
- Small Contributor Committee
- Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
- Controlled
- Sponsored (Also Complete Part 6)
- Primarily Formed Candidate/Officeholder Committee (Also Complete Part 7)

2. Type of Statement:

- Preelection Statement
- Semi-annual Statement
- Termination Statement (Also file a Form 410 Termination)
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

3. Committee Information

I.D. NUMBER
1343169

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)

CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012

Treasurer(s)

NAME OF TREASURER

CHRIS PHAN

MAILING ADDRESS

P.O. BOX 1654

STREET ADDRESS (NO P.O. BOX)

10121 MCMICHAEL DRIVE

CITY
GARDEN GROVE

STATE
CA

ZIP CODE
92840

AREA CODE/PHONE
714-274-3177

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

P.O. BOX 1654

CITY

STATE
CA

ZIP CODE
92842

AREA CODE/PHONE
714-274-3177

OPTIONAL: FAX / E-MAIL ADDRESS

CHRISPHAN1@HOTMAIL.COM

OPTIONAL: FAX / E-MAIL ADDRESS

CHRISPHAN1@HOTMAIL.COM

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 10/25/12 Date

Executed on 10/25/12 Date

Executed on _____ Date

Executed on _____ Date



By

Signature of Treasurer or Assistant Treasurer

By

Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

By

Signature of Controlling Officeholder, Candidate, State Measure Proponent

By

Signature of Controlling Officeholder, Candidate, State Measure Proponent

Type or print in ink.

COVER PAGE - PART 2

Recipient Committee Campaign Statement Cover Page — Part 2

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FORM **460**

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5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE
CHRIS PHAN

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)
CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP
10121 MCMICHAEL DRIVE GARDEN GROVE, CA 92840

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

| | |
|-------------------|---|
| COMMITTEE NAME | I.D. NUMBER |
| NAME OF TREASURER | CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX) |
| CITY | STATE ZIP CODE AREA CODE/PHONE |
| COMMITTEE NAME | I.D. NUMBER |
| NAME OF TREASURER | CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX) |
| CITY | STATE ZIP CODE AREA CODE/PHONE |

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

| | | |
|----------------------|--------------|---|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE |
|----------------------|--------------|---|

Identify the controlling officeholder, candidate, or state measure proponent, if any.
NAME OF OFFICEHOLDER, CANDIDATE, OR PROponent

OFFICE SOUGHT OR HELD

DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee

List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

| | | |
|-----------------------------------|-----------------------|---|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE |

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Type or print in ink.
Amounts may be rounded
to whole dollars.

SUMMARY PAGE

Statement covers period

from 10/01/2012

through 10/20/2012

CALIFORNIA FORM 460

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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012

I.D. NUMBER

1343169

Contributions Received

| | Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES) | Column B CALENDAR YEAR TOTAL TO DATE |
|---------------------------------------|--|--|
| 1. Monetary Contributions | Schedule A, Line 3 275.00 | 13,610.00 |
| 2. Loans Received | Schedule B, Line 3 0.00 | 5000.00 |
| 3. SUBTOTAL CASH CONTRIBUTIONS | Add Lines 1 + 2 275.00 | 18,610.00 |
| 4. Nonmonetary Contributions | Schedule C, Line 3 0.00 | 400.00 |
| 5. TOTAL CONTRIBUTIONS RECEIVED | Add Lines 3 + 4 275.00 | 19,010.00 |

Expenditures Made

| | | |
|--|-------------------------------|-----------|
| 6. Payments Made | Schedule E, Line 4 75.38 | 13,804.54 |
| 7. Loans Made | Schedule H, Line 3 0.00 | 0.00 |
| 8. SUBTOTAL CASH PAYMENTS | Add Lines 6 + 7 75.38 | 13,804.54 |
| 9. Accrued Expenses (Unpaid Bills) | Schedule F, Line 3 0.00 | 0.00 |
| 10. Nonmonetary Adjustment | Schedule C, Line 3 0.00 | 0.00 |
| 11. TOTAL EXPENDITURES MADE | Add Lines 8 + 9 + 10 75.38 | 14,204.56 |

Current Cash Statement

| | | |
|---|--|--|
| 12. Beginning Cash Balance | Previous Summary Page, Line 16 4888.26 | |
| 13. Cash Receipts | Column A, Line 3 above 275.00 | |
| 14. Miscellaneous Increases to Cash | Schedule I, Line 4 0.00 | |
| 15. Cash Payments | Column A, Line 8 above 75.38 | |
| 16. ENDING CASH BALANCE | Add Lines 12 + 13 + 14, then subtract Line 15 5087.88 | |

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED

| | | |
|------------------------------------|----------------------------|--|
| 17. LOAN GUARANTEES RECEIVED | Schedule B, Part 2 0.00 | |
|------------------------------------|----------------------------|--|

Cash Equivalents and Outstanding Debts

| | | |
|-----------------------------|--|--|
| 18. Cash Equivalents | See instructions on reverse 0.00 | |
| 19. Outstanding Debts | Add Line 2 + Line 9 in Column B above 5000.00 | |

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

1/1 through 6/30 7/1 to Date

| | | |
|----------------------------|----|----|
| 20. Contributions Received | \$ | \$ |
| 21. Expenditures Made | \$ | \$ |

Expenditure Limit Summary for State Candidates

| | |
|--|---------------|
| 22. Cumulative Expenditures Made* (if Subject to Voluntary Expenditure Limit) | Total to Date |
| Date of Election (mm/dd/yy) | / / |
| | \$ |
| | \$ |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

**Schedule A
Monetary Contributions Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

SCHEDULE A

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FORM
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Statement covers period
from 10/01/2012
through 10/20/2012

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER
CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012
I.D. NUMBER
1343169

| DATE RECEIVED | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE * | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|--|---|--|-----------------------------|---|------------------------------------|
| 10/8/2012 | ANDREW WILKES 4537 169TH AVE SE BELLEVUE, WA 98006 | <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | POLICE OFFICER CITY OF SEATTLE | 250.00 | 250.00 | 250.00 |
| 10/15/2012 | RONALD RYEN 12542 MANLEY STREET GARDEN GROVE, CA 92845 | <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | 25.00 | 25.00 | 25.00 |
| | | <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | | | |
| | | <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | | | |
| | | <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | | | |
| SUBTOTAL \$ | | | | 275.00 | | |

Schedule A Summary
1. Amount received this period - itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 275.00
2. Amount received this period - unitemized monetary contributions of less than \$100 \$ 0
3. Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** 275.00

*Contributor Codes
IND - Individual
COM - Recipient Committee (other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

FPPC Form 460 (January/05)
FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)

**Schedule B - Part 1
Loans Received**

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period
from 10/01/2012
through 10/20/2012

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SEE INSTRUCTIONS ON REVERSE
NAME OF FILER

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CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012

| FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | (a) OUTSTANDING BALANCE BEGINNING THIS PERIOD | (b) AMOUNT RECEIVED THIS PERIOD | (c) AMOUNT PAID OR FORGIVEN THIS PERIOD * | (d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD | (e) INTEREST PAID THIS PERIOD | (f) ORIGINAL AMOUNT OF LOAN | (g) CUMULATIVE CONTRIBUTIONS TO DATE |
|---|---|--|---|---------------------------------|--|---|-------------------------------|-----------------------------|---|
| CHRIS PHAN 10121 MCMICHAEL DRIVE GARDEN GROVE, CA 90840 | <input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | ATTORNEY CHRIST PHAN ESQ | \$ 5,000.00 | \$ 0.00 | <input type="checkbox"/> PAID \$ 0.00 <input type="checkbox"/> FORGIVEN \$ 0.00 | \$ 5,000.00 | 0 % | \$ 5,000.00 | CALENDAR YEAR \$ 5,000.00 PER ELECTION ** |
| | <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | \$ | \$ | <input type="checkbox"/> PAID \$ <input type="checkbox"/> FORGIVEN \$ | \$ | % | \$ | CALENDAR YEAR \$ PER ELECTION ** |
| | <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC | | \$ | \$ | <input type="checkbox"/> PAID \$ <input type="checkbox"/> FORGIVEN \$ | \$ | % | \$ | CALENDAR YEAR \$ PER ELECTION ** |
| SUBTOTALS \$ | | | 0.00 \$ | 0.00 \$ | 0.00 \$ | 5,000.00 \$ | 0.00 | | |

(Enter (e) on
Schedule E, Line 3)

Schedule B Summary

- Loans received this period \$ 0.00
(Total Column (b) plus unitemized loans of less than \$100.)
- Loans paid or forgiven this period \$ 0.00
(Total Column (c) plus loans under \$100 paid or forgiven.)
(Include loans paid by a third party that are also itemized on Schedule A.)
- Net change this period. (Subtract Line 2 from Line 1.) **NET \$ 0.00**
Enter the net here and on the Summary Page, Column A, Line 2.
(May be a negative number)

†Contributor Codes
IND - Individual
COM - Recipient Committee
(other than PTY or SCC)
OTH - Other (e.g., business entity)
PTY - Political Party
SCC - Small Contributor Committee

*Amounts forgiven or paid by another party also must be reported on Schedule A.
** If required.

Statement covers period
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through 10/20/2012

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1343169

SEE INSTRUCTIONS ON REVERSE
NAME OF FILER
CHRIS PHAN FOR GARDEN GROVE CITY COUNCIL 2012

Type or print in ink.
Amounts may be rounded
to whole dollars.

**Schedule E
Payments Made**

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- CMP campaign paraphernalia/misc.
- CNS campaign consultants
- CTB contribution (explain nonmonetary)*
- CVC civic donations
- FIL candidate filing/ballot fees
- FND fundraising events
- IND independent expenditure supporting/opposing others (explain)*
- LEG legal defense
- LIT campaign literature and mailings
- MBR member communications
- MTG meetings and appearances
- OFC office expenses
- PET petition circulating
- PHO phone banks
- POL polling and survey research
- POS postage, delivery and messenger services
- PRO professional services (legal, accounting)
- PRT print ads
- RAD radio airtime and production costs
- RFD returned contributions
- SAL campaign workers' salaries
- TEL t.v. or cable airtime and production costs
- TRC candidate travel, lodging, and meals
- TRS staff/spouse travel, lodging, and meals
- TSF transfer between committees of the same candidate/sponsor
- VOT voter registration
- WEB information technology costs (internet, e-mail)

| NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---|------|----|------------------------|--------------------------|
| GARDEN GROVE POST OFFICE GARDEN GROVE, CA 92842 | POS | | STAMPS | 18.00 |
| GARDEN GROVE POST OFFICE GARDEN GROVE, CA 92842 | POS | | STAMPS | 45.00 |
| PIRYX 144 2ND ST 1ST FLOOR SAN FRANCISCO, CA 94105 | CMP | | ONLINE DONATION COST | 12.38 |
| * Payments that are contributions or independent expenditures must also be summarized on Schedule D. | | | | SUBTOTAL \$ 75.38 |

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.) \$ 75.38

2. Unitemized payments made this period of under \$100 \$ 0.00

3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) \$ 0.00

4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) **TOTAL \$ 75.38**